



UNCLASSIFIED

U.S. Department of Justice
Federal Bureau of Investigation

File No. 205-NK-2057320

U.S. Embassy
Athens, Greece

22 June 2017

Chief Prosecutor ~~Eleni~~ Touloupaki
Public Prosecutor's Office
K. Loukareos 14
Athens, Greece 11522

RE: Novartis

Mrs. Touloupaki:

In our collaborative efforts in the parallel corruption investigation of Novartis pharmaceutical company, we are providing your office with the following information:

1. The below list identified those employees of Novartis Hellas who have been interviewed by Novartis attorneys as part of an internal investigation being conducted by Novartis.

| Name | Positions |
|------------------|--|
| Heinz Backes | CCFO, Finance Head Finance Global Pharma IT Finanz Experte, GIS FINANCE |
| Eleni Bardi | Assistant MKT & Sales Assistant HR Administrator, HR Operations HR Services Specialist - Tier 1, HR Operations |
| Dimitris Bassias | Area Sales Manager Pharmacy Account Manager/Head |
| Martin Batzer | Head Pharma Affairs, Pharma Affairs Management Head Novartis Basel Operations, Pharma Affairs Management Head PH Basel Operations Management, PH Basel Operations Management Head NSC-CH Operations Mgmt, PH Novartis Service Center Head Real Estate&Facility Services NBS, PH Novartis Service Center Head REFS & NBS Country Rep, PH Novartis Service Center |
| Elena Bodioti | Product Manager, Marketing General Medicines Product Director, Marketing General Medicines Key Account Marketing MGR |

UNCLASSIFIED

UNCLASSIFIED

| | |
|--------------------------|--|
| | Key Accounts Marketing Mgr, State Funds/Hospital KAM Junior DRA Manager, DRA DRA Manager, DRA |
| Damien Heller | Global Compliance Officer |
| Eirini Karahaliou | Assistant Pricing Assistant Pricing & Reimbursement Associate |
| Panagiota Karra | Conventions Manager Conventions & Events Project Manager Sr. Conv. & Events Project Mgr |
| Marialena Konstantinidou | Product Manager Medical Advisor Head of Medical Oncology |
| Efthymios Lioulis | Head Legal and Country President Support Senior Legal Counsel, Region AMAC Senior Legal Counsel |
| Claude Menweg | Head of Accounts Receivable Process Manager Financial Operations Service Manager Invoices & Accounts Receivable |
| Michail Michaleris | Marketing Sciences Mgr, General Medicines ABGU Head and BD&L Head, General Medicines HEAD IDTI & HEAD BD&L, General Medicines BF Head IDTI, General Medicines BF Head Specialty Business, General Medicines Head Neuroscience BF Head Neuroscience Franchise |
| Despina Nikolaidis | ICRO MANAGER EMEA SOUTH, Medical Brand Director, marketing Brand Director, IHC AI Marketing National Sales Manager, Speciality Head Immunology & Dermatology Franchise |
| Athanasios Sakorafas | Junior Payors Relations Public Affairs & Payor Relations Manager Oncology Market Access and Public Affairs |
| Marilena Salichou | Tactical Buyer Country Buyer Senior Buyer |
| Anastasia Sarakatsianou | C&E IND.BOOK. COORD Conv. & Events Ind. Book. Coord., Conventions & Events MSO Coordinator, MSO |
| Jaap van Oerle | Regional Audit Manager, Internal Audit - Europe I Regional Hd Internal Audit & Deputy Hd IA, Auditing Regional Hd Internal Audit & Head IA a.i., Auditing Regional Head Internal Audit, Internal Audit - Europe I |

UNCLASSIFIED

UNCLASSIFIED

| | |
|-----------------------|--|
| Monika Daniela Wernli | Accountant – Third Party Debtors AR/IC Specialist, Order to Cash FRA Specialist IC/TCM |
| Chrysanthi Zoi | Market Research Manager BD&L Manager Senior Pricing & Reimbursement Manager |

2. Swiss Bank Account Information which may be related to payments made in Greece:

| Bank | Beneficiary | IBAN / Account | Status |
|------|-----------------------|-----------------------|--------|
| UBS | NOVARTIS PHARMA AG | CH9000230230EW1014181 | Closed |

3. Additional bank account information provided by the Novartis attorneys in regard to accounts utilized by Novartis Hellas:

| Bank | Beneficiary | IBAN / Account | Status |
|----------------------------|-------------------------|-----------------------------|--------|
| ALPHA BANK | NOVARTIS HELLAS AEBE | GR4301401010101002320003834 | Active |
| ALPHA BANK | NOVARTIS HELLAS AEBE | GR0501401010101002320010920 | Active |
| ALPHA BANK | NOVARTIS HELLAS AEBE | GR8501401010101002030099954 | Closed |
| NATIONAL BANK OF GREECE | NOVARTIS HELLAS AEBE | GR1301107200000072047014377 | Active |
| PEIRAEUS | NOVARTIS HELLAS AEBE | GR6201710170006017040044223 | Active |
| PEIRAEUS | NOVARTIS HELLAS AEBE | GR5401720350005035022727008 | Closed |
| CITI | NOVARTIS HELLAS AEBE | GR8008400010000000444774365 | Active |
| CITI | NOVARTIS HELLAS AEBE | GR4008400010000000444774018 | Active |
| CITI | NOVARTIS HELLAS AEBE | GR7308400010000000444774006 | Active |
| BNP PARIBAS | NOVARTIS HELLAS AEBE | 0000000114390116 | Closed |
| BNP PARIBAS | NOVARTIS HELLAS | 0000000114392056 | Closed |

UNCLASSIFIED

UNCLASSIFIED

| | | | |
|------------------|-------------------------|------------------------|--------|
| | AEBE | | |
| DEUTSCHE BANK AG | NOVARTIS HELLAS AEBE | DE47760700120043001700 | Active |
| ATE BANK | NOVARTIS HELLAS AEBE | 0170400442236 | Closed |

4. List of Novartis US employees who may have connections to Novartis Hellas. The FBI and United States Attorney's Office in Newark, New Jersey anticipate contacting these individuals with the intent to interview them. If any interviews are conducted, the results of those interviews will be provided to your office.

Stavros Ntogiakos, a current Novartis employee, was based at Novartis Hellas as a product manager from approximately September 2008 through August 2011. In approximately August 2011, Mr. Ntogiakos transferred to Novartis Pharma Canada, where he worked as a brand manager until approximately July 2012, when he took on a role as a global brand manager for Novartis in Switzerland. Mr. Ntogiakos left Switzerland in approximately August 2016 for a Marketing Director position at Novartis Pharmaceuticals Corporation (NPC), East Hanover. His current title at NPC is Executive Director, Marketing – Eye Care.

Vijay Iyengar, a former Novartis employee, worked at NPC from approximately February 2003 to July 2009 in various roles in Global Oncology, including as product director and senior product director. From approximately July 2009 to November 2011, he served as VP of the Oncology Business Unit at Novartis Hellas. In approximately November 2011, Mr. Iyengar transferred back to NPC as VP of the Oncology Rare Diseases Franchise. From approximately April 2014 until his departure in April 2016, Mr. Iyengar served as President of Genoptix, a Novartis company.

Christian Klee, a current Novartis employee, was based at Novartis Pharma AG in Basel from approximately July 2001 to July 2005 as the Head of Finance for Pharma. From approximately July 2005 to October 2008, he served as the Global Head of Finance for Pharma. In approximately October 2008, Mr. Klee transferred to the Novartis Institutes for BioMedical Research (NIBR), where he served as the CFO from approximately October 2008 to July 2016. In approximately July 2016, Mr. Klee started in his current role as the COO of NIBR and the CCFO USA.

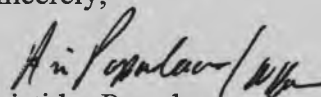
If any of the above names or bank account information is recognized by your office, we would appreciate the chance to discuss their relevance to the Novartis investigation.

UNCLASSIFIED

UNCLASSIFIED

As always, we appreciate the cooperation our agencies share on such a critical issue, and stand ready to assist you whenever necessary. If you have any questions regarding this information, please do not hesitate to contact me at 210-720-2455.

Sincerely,



Aristides Papadacos
Legal Attaché
U.S. Embassy Athens

UNCLASSIFIED